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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: LUBOMIRSKY=1

In re Application of:

Igor LUBOMIRSKY

Art Unit: 1751

Appln. No.: 10/560,107

Filed: December 9, 2005

For: PYROELECTRIC COMPOUND AND March 28, 2006

METHOD OF ITS PREPARATION

## INFORMATION DISCLOSURE STATEMENT [IDS]

Customer Service Window, Mail Stop Amendment Honorable Commissioner for Patents U.S. Patent and Trademark Office Randolph Building 401 Dulany Street Alexandria, Virginia 22314

Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed before the mailing date of a first office action on the merits.

2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form BN/SB/08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Other than U.S. patent(s) and/or published U.S. application(s), which 37 CFR §1.98(a)(2)(ii) does not

require to be filed unless specifically required by the Office, a copy of each document listed is attached.

- Document(s) AE is not in the English language. In accordance with 37 CFR §1.98(a)(3), Applicant states:
  - [XX] An English translation of document DE 100 28 022 Al (or of the pertinent portions thereof), or a copy of an English-language abstract (or claim) is enclosed.
- No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- Other information being provided for the examiner's consideration follows:

International Search Report

In accordance with 37 CFR §§1.97(q) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK Attorneys for Applicant(s)

By:

Registration No. 20,520

Sheridan Neimark

SN:kq

624 Ninth Street, N.W., Suite 300

Washington, D.C. 20001-5303

Telephone: (202)628-5197 Facsimile: (202)737-3528

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Substitute fo	Substitute for form 1449A/PTO INFORMATION DISCLOSURE		Co	omplete if Known	
				Application Number	10/560,107
INFO	RMATION DI	SC	LOSURE	Filing Date	December 9, 2005
STATEMENT BY APPLICANT				First Named Inventor	Igor LUBOMIRSKY
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	(use as many sheets	as n	ecessary)	Examiner Name	
Sheet	1	of	4	Attorney Docket Number	LUBOMIRSKY=1

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,504,330	04-02-1996	Summerfelt et al	
	AB	US-5,127,982	07-07-1992	Kotake	
	AC	US-4,869,840	09-26-1989	Osbond et al	
	AD	US-4,500,397	02-19-1985	Mori	
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	FOREIC	ON PATENT DO	CUMENTS		
Cite No.1	Foreign Patent Number Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
AE	DE 100 28 022 A1	12-13-2001	Krueger et al	<del></del>	<b>A8S</b>
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	No.1	Foreign Patent Number  Cite Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)  AE DE 100 28 022 A1	Foreign Patent Number  Cite No.  AE DE 100 28 022 A1  Publication Date MM-DD-YYYY  12-13-2001	Cite No. Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)  AE DE 100 28 022 A1  12-13-2001  Krueger et al	Foreign Patent Number Cite No.¹  DE 100 28 022 A1  DE 100 28 022 A1  Publication Date MM-DD-YYYY  Name of Patentee or Applicant of Cited Document  Krueger et al  Krueger et al  Rame of Patentee or Applicant of Cited Document  Fages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear  Krueger et al  Rame of Patentee or Applicant of Cited Document  Fages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear  Relevant Figures Appear  Fages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear  Fages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear  Fages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner	Date	
Signature	 Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

Substituta	for form 1449A/PTO			Co	omplete if Known	
				Application Number	10/560,107	
INFO	RMATION D	ISC	LOSURE	Filing Date	December 9, 2005	
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Sheet	2	of	4	Attorney Docket Number	LUBOMIRSKY=1	

		NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		s. Li. J. A. Eastman, Z. Li, C. M. Foster, R. E. Newnham, and L. E. Cross, "Size effects in nanostructureed ferroelectrics", <i>Phys. Lett. A</i> <b>1996</b> , 212, 341-346.	
	AG	N. A. Pertsev, A. G. Zembilgotov, and A. K. Tagantsev "Effect of Mechanical Boundary Conditions on Phase Diagrams of Epitaxial Ferroelectric Thin Films", <i>Phys. Rev. Lett.</i> <b>1998</b> , 80, 1998-1991.	
	AH	T. Feng and J. M. Cowley, "Thickness dependence of ferroelectric domains in thin crystalline films", Appl. Phys. Lett. 1994, 65, 1906-1908.	
	Al	M. H. Frey and D. A. Payne, "Grain-size effect on structure and phase transformations for barium titanate", <i>Phsy. Rev. B</i> <b>1996</b> , <i>54</i> , 3158-3167.	
	AJ	G. Ayton, M. JU. P. Gingras, and G. N. Patney, "Orientatinal Ordering on Spatially Disordered Dipolar Systems", Phys. Rev. Lett. 1995, 75, 2360-2363.	
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	AM	Y. H. Xu, C. H. Cheng, and J. D. Mackenzie, "Electrical characterizations of polycrystalline and amorphous thin films of Pb(Zr <sub>x</sub> T <sub>1-x</sub> )O <sub>3</sub> and BatTiO <sub>3</sub> prepared by sol-gel technique", <i>J. Non-Cryst Silids</i> <b>1994,</b> 176, 1-17.	
	AN	K. Sreenivas, A. Mansingh, and M. Sayer, "Structural and electrical properties of rf-sputtered amorphous barium titanate thin films", <i>J. Appl. Phys.</i> <b>1987</b> , 62, 4475–4481.	
	AO	B. S. Chiou and M. C. Lin, "Electrical properties of amorphous barium titanate films prepared by low power r.f. sputtering", <i>Thin Solid Films</i> <b>1994</b> , 248, 247-252.	
	AP	W. T. Liu, S. T. Lakshmikumar, D. B. Knorr, E. J. Rymaszewski, T. M. Lu, and H. Bakhru, "Thermally stable amorphous Ba <sub>x</sub> Ti <sub>2-x</sub> O <sub>y</sub> thin films", <i>Apply. Phys. Lett.</i> <b>1995,</b> 66, 809-811.	
	AQ	M. N. Kamalasanan, N. D. Kumar, and S. Chandra, "Structural and microstructural evolution of barium titanate thin films deposited by the dol-gel process", <i>J. Apply. Phys.</i> <b>1994,</b> 76, 4603-4609.	

Examiner	•	Date	
Signature		Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Complete if Known Substitute for form 1449A/PTO 10/560,107 Application Number INFORMATION DISCLOSURE December 9, 2005 Filing Date STATEMENT BY APPLICANT Igor LUBOMIRSKY First Named Inventor 1751 Group Art Unit (use as many sheets as necessary) **Examiner Name** LUBOMIRSKY=1 Attorney Docket Number Sheet

		NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
	AR	A. A. Lipovskii, D. K. Tagantsev, A. A. Vetrov, and O. V. Yanush, "Raman spectroscopy and the origin of electrooptical Kerr phenomenon in niobium alkali-silicate glasses", <i>Optical Materials</i> <b>2003</b> , 21, 749-757.	
	AS	A. K. Tagantsev, "Electrical polarization in Crystals and Its Response to Thermal and Elastic Perturbations", Phase Transitions 1991, 35, 119-203.	-
1 201 0	AT	W. L. Warren, G. E. Pike, K. Vanheusden, D. Dimos, B. A. Tuttle, and J. Robertson, ":Defect-dipole alignment and tetragonal strain in ferroelectrics", <i>J. Appl. Phys.</i> <b>1996,</b> 79, 9250-9257.	
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	AV	L. Nam Yang, T. Sekine, Y. Ito, and K. Uchino, "Deposition Profile of RF-Magnetron-Sputtered BaTiO₃ Thin films", Jpn. J. Appln. Phys. 1. <b>1994,</b> 33, 1484-1488.	
ı	AW	G. G. Stoney, "The Tension of Metallic Films deposited by Electrolysis", <i>Proc. R. Soc. London</i> <b>1909,</b> A82, 172-175.	
	AX	A. G. Chynoweth, "Dynamic Method for Measuring the Pyroelectric Effect with Special Reference to Barium Titanate", Appl. Phys. Lett. 1956, 27, 78-84.	
· ·	AY	B. R. Holeman, "Sinusoidally Modulated Heat Flow And The Pyroelectric Effect", <i>Infrared Physics</i> <b>1972</b> , 12, 125-135.	
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,	ВА	O. Kolosove, A. Gruverman, J. Hatano, K. Takahashi, and H. Tokumoto, "Nanascale Visualization and Control of Ferroelectric Domains by Atomic Force Microscopy", <i>Phys. Rev. Lett.</i> <b>1995</b> , 74, 4309-4312.	
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-1	Examiner	Date	
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Substitute i	100 101111 1449AVF 10			Application Number	10/560,107	
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Sheet	3	of	4	Attorney Docket Number	LUBOMIRSKY=1	

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Examiner nitials*	Cite No. <sup>1</sup>	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	BD	Baghat, A.A. & Kamel, T.M., "Possible observation of a glassy ferroelectric: Bi <sub>1.8</sub> Pb <sub>0.3</sub> Sr <sub>2</sub> Ca <sub>2</sub> Cu <sub>2.8</sub> K <sub>0.2</sub> O <sub>z</sub> " <i>Phys. Rev. B</i> <b>63,</b> art. No012101-1 – 012101-4 (2001).	
	BE	Lubomirsky et al "Observation of self-poling in BaTiO3", Journal of Applied Physics, Vol. 85(9), pp 6690-6694, May 1999 (Abstract only)	
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